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1746

**AMENDMENT TRANSMITTAL**

**PATENT**

Application No.: 09/891,849  
Filing Date: June 25, 2001  
First Named Inventor: Steven Verhaverbeke, et al.  
Examiner's Name: Markoff, Alexander  
Art Unit: 1746  
Attorney Docket No.: 004711/P1 (BSTZ Ref. 4887.P454X; AMAT Ref. 004711/ USAPY1 /W-C /W-C)

- ☐ An Amendment After Final Action (37 CFR 1.116) is attached and applicant(s) request expedited action.
- ☒ Charge any fee not covered by any check submitted to Deposit Account No. 02-2666.
- ☒ Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 CFR 1.16 and 1.17, for any concurrent or future reply to Deposit Account No. 02-2666.
- ☐ Applicant(s) claim small entity status (37 CFR 1.27).

**ATTACHMENTS**

- ☐ Preliminary Amendment
- ☒ Amendment/Response with respect to Notice of Non-Compliant Amendment dated October 20, 2006
- ☐ Amendment/Response After Final Action (37 CFR 1.116) (reminder: consider filing a Notice of Appeal)
- ☐ Notice of Appeal
- ☐ RCE (Request for Continued Examination)
- ☐ Supplemental Declaration
- ☐ Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record)
- ☐ Information Disclosure Statement (IDS)
- ☐ Copies of IDS citations
- ☐ Petition for Extension of Time
- ☒ Fee Transmittal Document (that includes a fee calculation based on the type and number of claims)
- ☐ Cross-Reference to Related Application(s)
- ☐ Certified Copy of Priority Document
- ☐ Other: \_\_\_\_\_
- ☐ Other: \_\_\_\_\_
- ☐ Check(s) \_\_\_\_\_
- ☒ Postcard (Return Receipt)

**SUBMITTED BY:**

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP  
TYPED OR PRINTED NAME: Neal Berezny  
SIGNATURE: *Neal Berezny*  
REG. NO.: 56,030  
DATE: October 30, 2006  
ADDRESS: 12400 Wilshire Boulevard, Seventh Floor  
Los Angeles, California 90025  
TELEPHONE NO.: (408) 720-8300

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (if applicable)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on October 30, 2006  
Date of Deposit  
Dianne Neathery  
Name of Person Mailing Correspondence  
*Dianne Neathery* Signature  
October 30, 2006 Date

Express Mail Label No. (if applicable): \_\_\_\_\_

**Send to:** COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, Virginia 22313-1450

(10/14/03)

**FEE TRANSMITTAL FOR FY 2006**

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**TOTAL AMOUNT OF PAYMENT (\$) 0.00**

Complete if Known:

Application No. 09/891,849  
Filing Date June 25, 2001  
First Named Inventor Steven Verhaverbeke, et al.  
Examiner Name Markoff, Alexander  
Art Unit 1746  
Attorney Docket No. 004711/P1

Applicant claims small entity status. See 37 CFR 1.27.

**METHOD OF PAYMENT** (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☒ None ☐ Other (please identify)

☐ Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: \_\_\_\_\_

☒ The Director is Authorized to do the following with respect to the above-identified Deposit Account:

☐ Charge fee(s) indicated below.

☒ Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application.

☐ Charge fee(s) indicated below except for the filing fee

☒ Credit any overpayments.

☒ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>		<u>Fees Paid (\$)</u>
<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>			
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>			
1011	300	2011	150	Utility application filing fee	1,000/500	\$ _____
1111	500	2111	250	Utility search fee		\$ _____
1311	200	2311	100	Utility examination fee		\$ _____
1012	200	2012	100	Design application filing fee	430/215	_____
1112	100	2112	50	Design search fee		_____
1312	130	2312	65	Design examination fee		_____
1013	200	2013	100	Plant filing fee	660/330	_____
1113	300	2113	150	Plant search fee		_____
1313	160	2313	80	Plant examination fee		_____
1004	300	2004	150	Reissue filing fee	1,400/700	_____
1114	500	2114	250	Reissue search fee		_____
1314	600	2314	300	Reissue examination fee		_____
1005	200	2005	100	Provisional application filing fee		_____
<b>SUBTOTAL (1) \$ 0.00</b>						_____

**2. EXCESS CLAIM FEES**

			<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fees Paid (\$)</u>
<b>Total Claims</b>	<u>58</u>	<b>- 207 HP</b>	<b>= <u>0</u></b>	X <u>\$50.00</u>	<b>= <u>        </u></b>
HP = highest number of total claims paid for, if greater than 20					
<b>Independent Claims</b>	<u>5</u>	<b>- 19 HP</b>	<b>= <u>0</u></b>	X <u>\$200.00</u>	<b>= <u>        </u></b>
HP = highest number of independent claims paid for, if greater than 3					
<b>Multiple Dependent Claims</b>				<u>        </u>	<b>= <u>        </u></b>

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>	
1202	50	2202	25	Each claim over 20
1201	200	2201	100	Each independent claim over 3
1203	360	2203	180	Multiple dependent claims, if not paid
1204	200	2204	100	Reissue: each claim over 20 and more than in the original patent
1205	50	2205	25	Reissue: each independent claim more than in the original patent

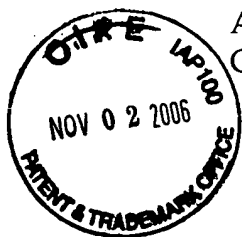
SUBTOTAL (2) \$ 0.00**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each add'l 50 or fraction thereof</u>	<u>Fee from below</u>	<u>Fees paid (\$)</u>
<u>        </u>	<b>- 100 =</b>	<u>        </u> / 50 = <u>        </u> (round up to whole number)	X <u>\$250.00</u>	<b>= <u>        </u></b>

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description: Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec &amp; drawings except sequences &amp; program listings):</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>	
1081	250	2081	125	Utility
1082	250	2082	125	Design
1083	250	2083	125	Plant
1084	250	2084	125	Reissue

SUBTOTAL (3) \$ 0.00



Attorney's Docket No.: 004711/P1  
Confirmation No.: 4749

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )  
 )  
Steven Verhaverbeke, et al. )  
 )  
Application No: 09/891,849 )  
 )  
Filed: June 25, 2001 )  
 )  
For: METHOD AND APPARATUS )  
FOR WAFER CLEANING )  
 )

Examiner: Markoff, Alexander

Art Unit: 1746

Mail Stop Amendment  
Commissioner For Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

Dear Sir:

In response to the Notice of Non-Compliant Amendment dated October 20, 2006, Applicant respectfully requests that the above-identified application be amended as follows and that the following remarks be considered:

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8A)

I hereby certify that this correspondence is being deposited in the United States Postal Service as first class mail with sufficient stamp in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on:

October 30, 2006

Date of Transmission

Dianne Neathery

Name of Person Transmitting Correspondence

*Dianne Neathery*  
Signature

October 30, 2006

Date

Application No.: 09/891,849  
Filing Date: June 25, 2001

- 1/23-

AMAT Ref. No.: 004711USAPY1/W-C/W-C/  
BSTZ Ref. No.: 004887.P454X